

Jul. 5. 2007 2:59PM

No. 4028 P. 1

**D. PETER HOCHBERG CO., L.P.A.**

ATTORNEYS AT LAW

1940 EAST 6TH STREET  
CLEVELAND, OHIO 44114-2294

INTELLECTUAL PROPERTY LAW

D. PETER HOCHBERG\*  
SEAN F. MELLINO  
DANIEL J. SMOLAIAN M. SINGER\*\*\*  
OF COUNSEL\*ALSO ADMITTED IN  
VIRGINIA AND NEW YORK  
\*\*\*ADMITTED IN NEW YORK ONLYPATENT TRADEMARK  
AND COPYRIGHT LAWTEL.: (216) 771-3800  
FAX: (216) 771-3804

e-mail: dphochberg@aol.com

July 5, 2007

**VIA FACSIMILE ONLY (571) 273-3201**  
**9 PAGES TOTAL**

U.S. Patent and Trademark Office  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Re: U.S. Patent Application No. 10/590,601  
 Title: *CARRIER SYSTEM IN THE FORM OF PROTEIN-BASED NANOPARTICLES FOR THE CELL-SPECIFIC ENRICHMENT OF PHARMACEUTICALLY ACTIVE SUBSTANCES*  
 Filing Date: August 24, 2006  
 Our Ref. RO4304US (#90568)

To Whom It May Concern:

Attached is a copy of the official U.S. Filing Receipt for the above-referenced matter. There are several errors on the Filing Receipt, none of which are our fault.

Please note that the first name of the third inventor is misspelled.

**The third inventor's name is spelled: NORBERT DINAUER**

Furthermore, the city of residence for the fifth inventor is misspelled.

**The fifth inventor's city of residence is spelled: SCHONECK**

Lastly, the assignee and the address of the assignee are incomplete under the Assignment For Published Patent Application section.

**The Assignment For Published Patent Application section should read:**

**LTS Lohmann Therapie-Systeme AG  
 Lohmannstrasse 2  
 56626 Andernach  
 Germany**

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U.S. Patent and Trademark Office

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July 5, 2007

The inventor and assignee information is shown correctly on the Application Date Sheet which was submitted to the USPTO upon filing of the application. A copy of the Application Data Sheet is attached herewith.

We look forward to receiving the corrected Filing Receipt in due course.

Sincerely yours,

*Kara L. Smith*  
Kara L. Smith

KLS/ks  
Attachment

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/590,601	08/24/2006	900		RO4304US (#90568)	18	2

28672  
D. PETER HOCHBERG CO. L.P.A.  
1940 EAST 6TH STREET  
CLEVELAND, OH 44114

CONFIRMATION NO. 8967  
FILING RECEIPT  
  
\*OC000000023821833\*

Date Mailed: 05/11/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

→ NORBERT  
Sabine Balthasar, Kelkheim, GERMANY;  
Hagen Von Briesen, Hunstetten, GERMANY;  
Norbert Dinauer, Frankfurt, GERMANY;  
Jorg Kreuter, Bad Homburg, GERMANY;  
Klaus Langer, Shoneck, GERMANY;  
Heidrun Wartlick, Frankfurt, GERMANY;

Assignment For Published Patent Application

LTS Lohmannstrasse 2, Andernach, GERMANY

→ SCHONECK

→ LTS LOHMANN THERAPIE-  
SYSTEME AG  
LOHMANNSTRASSE 2  
560626 ANDERNACH  
GERMANY

Power of Attorney: The patent practitioners associated with Customer Number 28672.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP05/02185 03/02/2005

Foreign Applications

GERMANY 10 2004 011 776.4 03/09/2004

Projected Publication Date: 08/16/2007

Non-Publication Request: No

**Early Publication Request: No**

**Title**

Carrier system in the form of protein-based nanoparticles for the cell-specific enrichment of pharmaceutically active substances

**Preliminary Class**

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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